

Exhibit A – Rate Filing Checklist

Company name _____

Company NAIC number _____

Line _____

All rate filings – The following items are required in filings except short track filings and filings for insurers that qualify for reduced filing requirements under [Texas Administrative Code \(TAC\) Chapter 5, Subchapter M, Division 9](#).

- ☐ Transmittal information.
- ☐ Rates, supplementary rating information, and fees.
- ☐ Filing memorandum.
- ☐ Rate filing checklist (Exhibit A).
- ☐ Rate change information (Exhibit C).
- ☐ SERFF rate data.
- ☐ Loss cost information for reference filings (Exhibit G).
- ☐ Actuarial memorandum.
- ☐ Actuarial support.
 - ☐ Rate indications (Overall, coverage, form, or peril).
 - ☐ Relativity analysis (Territory, driver class, etc.).
 - ☐ Other actuarial support.
- ☐ Policyholder impact information (For owner-occupied homeowners and personal automobile).
- ☐ Average rate change by county (County Exhibit, for owner-occupied homeowners).
- ☐ Historical premium and loss information (Exhibit D).
- ☐ Expense information, including disallowed expense adjustment (Exhibit E, all lines except workers' compensation and mortgage guaranty).
- ☐ Expense information (Exhibit F, for mortgage guaranty and workers' compensation).
- ☐ Profit provision information (Exhibit L).
- ☐ Certification (Exhibit MG, for mortgage guaranty).
- ☐ Workers' compensation information (Exhibit WC).
- ☐ Support for use of credit scoring ([TAC 5.9941](#), CS Exhibit).
- ☐ Support for territory rating ([TAC 5.9960](#), Territory Exhibits).
- ☐ Third-party data information.
- ☐ Third-party model information.

Company name _____

Company NAIC number _____

Line _____

Short track filings – The following items are required if the filing qualifies as a short track filing, in which limited information is needed to determine compliance with Texas statutes and rules. [TAC 5.9331\(b\)\(4\)](#).

- ☐ Transmittal information.
- ☐ Rates, supplementary rating information, and fees.
- ☐ Filing memorandum.
- ☐ Rate filing checklist (Exhibit A).
- ☐ Rate change information (Exhibit C).
- ☐ SERFF rate data.
- ☐ Side-by-side comparison or a markup, if applicable.

Division 9 rate filings – The following items are required in a filing for insurers that qualify for reduced filing requirements under [TAC Chapter 5, Subchapter M, Division 9](#).

- ☐ Transmittal information.
- ☐ Certification (EC-1, residential property).
- ☐ Rates, supplementary rating information, and fees.
- ☐ Filing memorandum.
- ☐ Rate filing checklist (Exhibit A).
- ☐ Rate change information (Exhibit C).
- ☐ SERFF rate data.
- ☐ Policyholder impact information (for owner-occupied homeowners and personal automobile).
- ☐ Average rate change by county (County Exhibit, for owner-occupied homeowners).

Division 10 additional requirements for certain county mutual insurers – The following item is required in addition to those for all rate filings for county mutual insurers described by [Texas Insurance Code 912.056\(d\) and \(e\)](#).

- ☐ Additional Information for Certain County Mutuals (Exhibit CM)