

Financial Regulation Division

The Financial Regulation Division has responsibilities for licensing and monitoring insurance companies throughout their entire lifecycle, from initial formation, through subsequent surveillance, to initiating action revoking the authority to operate in Texas.

Mail Code MC-FRD
PO Box 12030
Austin, TX 78711-2030

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Phone: (512) 676-6365

Jamie Walker, Deputy Commissioner

Company Licensing and Registration Office

The Company Licensing & Registration office is tasked with evaluating financial wherewithal and managerial capabilities related to entities seeking entrance into the Texas insurance marketplace. It reviews corporate transactions, maintains deposits, and ensures that companies exit the Texas marketplace in a solvent and compliant manner.

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Andrew Guerrero, Director

Financial Analysis Section

The Financial Analysis section monitors, supervises and assesses insurer and insurance group operations and solvency through the analysis of financial statements, business plans, regulatory filings, and regular dialogue with executive management of insurers and insurance groups. It also maintains financial statement files for companies domiciled in Texas.

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Amy Garcia, Associate Commissioner/Chief Analyst

Supervisory Interventions Office

The Supervisory Interventions office manages the regulatory oversight of insurance entities identified as being at risk of failure or otherwise operating in a manner hazardous to the public or its policyholders ensures the efficient administration of insurance company receiverships for the benefit of policyholders, receivership creditors and the taxpayers of Texas. It also serves as the liaison between TDI and the state insurance guaranty associations.

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Vane Hugo, Director

Examinations Section

The Examinations section performs examinations of insurance companies, HMOs and other entities such as managing general agents, to evaluate a company's financial condition and compliance with statutory requirements, including treatment of policyholders and audits of title agents.

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Matthew Tarpley, Associate Commissioner

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Phone: (512) 676-6860

Shawn Frederick, Chief Financial Solvency Examiner

Actuarial Office

Actuarial staff perform actuarial examinations of insurance companies, HMOs, and other entities in conjunction with Financial Examinations staff. Also provides actuarial reviews and support to Financial Regulation Division and other parts of the Agency.

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Miriam Fisk, Chief Actuary

Title Examinations Office

Title Examiners perform compliance audits of title agents and agencies licensed in Texas.

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PO Box 12030
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Phone: (512) 676-6880

Arturo Bustamante, Director

Market Conduct Examinations Office

The office performs market conduct examinations of insurers and other regulated entities such as managing general agents. The office also performs quality of care examinations of HMOs to determine compliance with Texas statutes and rules.

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Stacie Parker, Chief Market Conduct Examiner