

***Life/Fraternal*, Accident and Health Insurers and
Group Hospital Service Corporations***

Domestic life insurers and commercially domiciled insurers must also refer to the [Holding Company Filings](#) link for additional filing instructions. Stipulated Premium companies refer to this [link](#).

IMPORTANT: Refer also to the NAIC filing requirements checklist for [Life, Accident and Health Insurers](#).

Life/Fraternal, Accident and Health Insurers and Group Hospital Service Corporations must file the following documents:

1. ANNUALLY ON OR BEFORE MARCH 1:

| FINANCIAL ANALYSIS Domestic file electronically with TDI | NAIC Domestic/Foreign file electronically with NAIC |
|---|---|
| <ul style="list-style-type: none"> • Actuarial Opinion • Annual Statement% • All NAIC Annual Supplements due 3/1 • Annual Statement of the Separate Accounts* • Supplemental Compensation Exhibit^ • Texas Overhead Assessment Exemption Form# • Schedule SIS • Policy Count Exhibit (submit electronically) Form; Instructions • Advertising Certificate of Compliance Form (submit electronically) | <ul style="list-style-type: none"> • Actuarial Opinion • Annual Statement% • All NAIC Annual Supplements • Annual Statement of the Separate Accounts* |

% Foreign insurers must file signed Jurat with the Department

* If Applicable

^ Required of Texas domestic companies only

To be filed only by domestic companies that have qualified pension contracts under TIC §401.151

2. ANNUALLY ON OR BEFORE APRIL 1:

| ACTUARIAL DIVISION | Comments: |
|--|---|
| <ul style="list-style-type: none"> • Regulatory Asset Adequacy Issues Summary^ • PBR Actuarial Report Executive Summary, Life Summary, and VA Summary* | Submit electronically to: ActuarialDivision@tdi.texas.gov |

^ This is a confidential filing. Do not include with your Annual Statement.

* This filing is not required for those companies without business subject to VM-20 or VM-21.

3. ANNUALLY ON OR BEFORE APRIL 1:

| FINANCIAL ANALYSIS Domestic file electronically with TDI | NAIC Domestic/Foreign file electronically with NAIC |
|---|--|
| <ul style="list-style-type: none"> • Management’s Discussion and Analysis • All NAIC Annual Supplements due 4/1 | <ul style="list-style-type: none"> • Management’s Discussion and Analysis |

4. ANNUALLY ON OR BEFORE JUNE 1 (Texas multi-state)* or JUNE 30 (Texas only):**

| FINANCIAL ANALYSIS Domestic file electronically with TDI | NAIC Domestic/Foreign file electronically with NAIC |
|--|---|
| <ul style="list-style-type: none"> • CPA Audited Financial Report*** • Accountants Letter of Qualifications*** | <ul style="list-style-type: none"> • CPA Audited Financial Report*** |

* **June 1 filer (Texas multi-state)** – domesticated in Texas and one of the following applies:

- a) License status as Licensed, Registered, Eligible, Qualified, or Domestic Surplus Lines Insurer other than Texas.
- b) Reinsures business covering risks in at least one other state than Texas.
- c) Is a member of a group with affiliates doing business in another state.

** **June 30 filer (Texas only)** – Both the Company and its affiliates are licensed in and do business only in Texas.

*** **Exemption:** Companies are exempt from filing if they write **both** less than \$1 million in direct Texas premiums and assume less than \$1 million of reinsurance premiums (TIC § [401.006](#)). File the [Affidavit for Exemption form \(FIN 246\)](#) if requesting an exemption from filing audited financial reports.

5. QUARTERLY ON OR BEFORE MAY15, AUGUST 15 AND NOVEMBER 15:

| FINANCIAL ANALYSIS Domestic file electronically with TDI only | NAIC Domestic/Foreign file electronically with NAIC |
|--|--|
| <ul style="list-style-type: none"> • All NAIC Quarterly Supplements • Quarterly Statement% | <ul style="list-style-type: none"> • All NAIC Quarterly Supplements • Quarterly Statement% |

% Foreign insurers must file signed Jurat with the Department

6. Corporate Governance Annual Disclosure is due annually on or before June 1*

*Refer to TIC § 831.0001 for applicability

7. Liquidity Stress Test is due annually on or before June 30*

*Refer to TIC § 823.0596 for applicability