

No. **2026-10143**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 9/22/2026

Subject Considered:

Southlake Specialty Insurance Company
1301 Solana Blvd.
Suite 2100
Westlake, Texas 76262

Consent Order
TDI Enforcement File No. 39293

General remarks and official action taken:

This is a consent order with Southlake Specialty Insurance Company (Southlake). Southlake failed to file required annual and quarterly statements. Southlake has agreed to an administrative penalty of \$15,000.

Waiver

Southlake acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Southlake waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Southlake, company identification number 164164, is a designated domestic surplus lines insurer in the state of Texas.
2. Southlake is required to file its annual statement, Statement of Actuarial Opinion, and Supplemental Compensation Exhibit on or before March 1 for the preceding year ending December 31.

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Commissioner's Order

Southlake Specialty Insurance Company

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3. Southlake is required to file quarterly statements each year on or before May 15, August 15, and November 15.
4. Southlake was required to file the Management's Discussion and Analysis on or before April 1, 2026.
5. Since the 2024 annual statement due date, Southlake has failed to submit nine of the required filings in a timely manner.
6. Southlake submitted its 2024 annual statement on March 3, 2025, two days late.
7. Southlake submitted its 2024 Statement of Actuarial Opinion on April 1, 2025, 31 days late.
8. Southlake submitted its 2025 Supplemental Compensation Exhibit on March 26, 2026, 25 days late.
9. Southlake submitted its second quarterly statement for 2025 on August 21, 2025, 7 days late.
10. Southlake submitted its third quarterly statement for 2025 on November 17, 2025, 2 days late.
11. Southlake submitted its 2025 Management Discussion and Analysis on April 17, 2026, 16 days late.
12. Southlake submitted its 2025 annual statement on March 26, 2026, 25 days late.
13. Southlake submitted its 2025 Statement of Actuarial Opinion on March 26, 2026, 25 days late.
14. Southlake submitted its first quarterly statement on June 4, 2026, 20 days late.

Conclusions of Law

1. The commissioner has jurisdiction over this matter under TEX. INS. CODE §§ 82.051–82.055, 84.021–84.044, 981.072–981.073.

2. The commissioner has the authority to informally dispose of this matter as set forth in TEX. GOV'T CODE § 2001.056, and TEX. INS. CODE §§ 36.104 and 82.055.
3. Southlake has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Southlake violated 28 TEX. ADMIN. CODE § 7.68 by failing to timely submit annual statements, quarterly statements, and other required filings.

Order

It is ordered that Southlake Specialty Insurance Company pay an administrative penalty of \$15,000 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

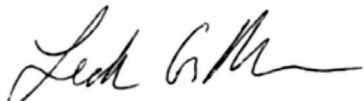
Signed by:



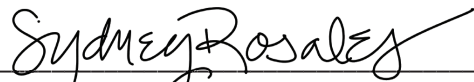
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Amanda Crawford
Commissioner of Insurance

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division



Sydney Rosales, Staff Attorney
Enforcement

Affidavit

STATE OF Texas §

§

COUNTY OF Tarrant §

Before me, the undersigned authority, personally appeared Anthony Pergola,
who being by me duly sworn, deposed as follows:

"My name is Anthony Pergola. I am of sound mind, capable of making
this statement, and have personal knowledge of these facts which are true and correct.

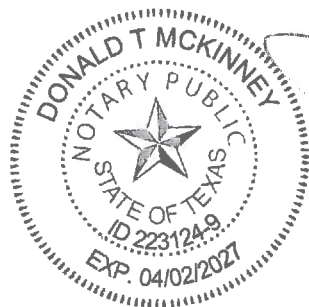
I hold the office of LOO and am the authorized representative of
Southlake Specialty Insurance Company. I am duly authorized by said organization to
execute this statement.

Southlake Specialty Insurance Company has knowingly and voluntarily entered into the
foregoing consent order and agrees with and consents to the issuance and service of the
same by the commissioner of insurance of the state of Texas."

[Signature]
Affiant

SWORN TO AND SUBSCRIBED before me on Sept 15, 2026.

(NOTARY SEAL)



[Signature]
Signature of Notary Public

Donald T McKinney
Printed Name of Notary Public