

https://uat.sircon.com/index.jsp

Sircon powered by Vertafore

Sircon **Test Environment**

Solutions Services Resources About Us

Complete. Connected. Compliant.

Sircon helps you save money, reduce compliance risk, and accelerate time-to-revenue by getting and keeping agents / advisors authorized to sell.

Apply for a License

Renew or Reinststate a License

Check Application / Renewal Status

Print a License

Look up Courses or Transcript

View a list of all services

Insurance is all about relationships, and compliance is no exception. Sircon connects all of the compliance stakeholders together so that everyone knows who is authorized to sell.

Select the “**Renew or Reinststate a License**” button.

License Renewal

i If you have recently completed all continuing education requirements, please allow 2-5 business days for the education provider to report the credit hours to the State.

[Check the Status of a Submitted License Renewal](#)

RENEW INSURANCE LICENSES

Renew or reinstate an **existing insurance license** [Renew Insurance Licenses](#)

RENEW ADJUSTER LICENSES

Renew or reinstate an **existing adjuster license** [Renew Adjuster Licenses](#)

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Select the “**Renew Insurance Licenses**” button.

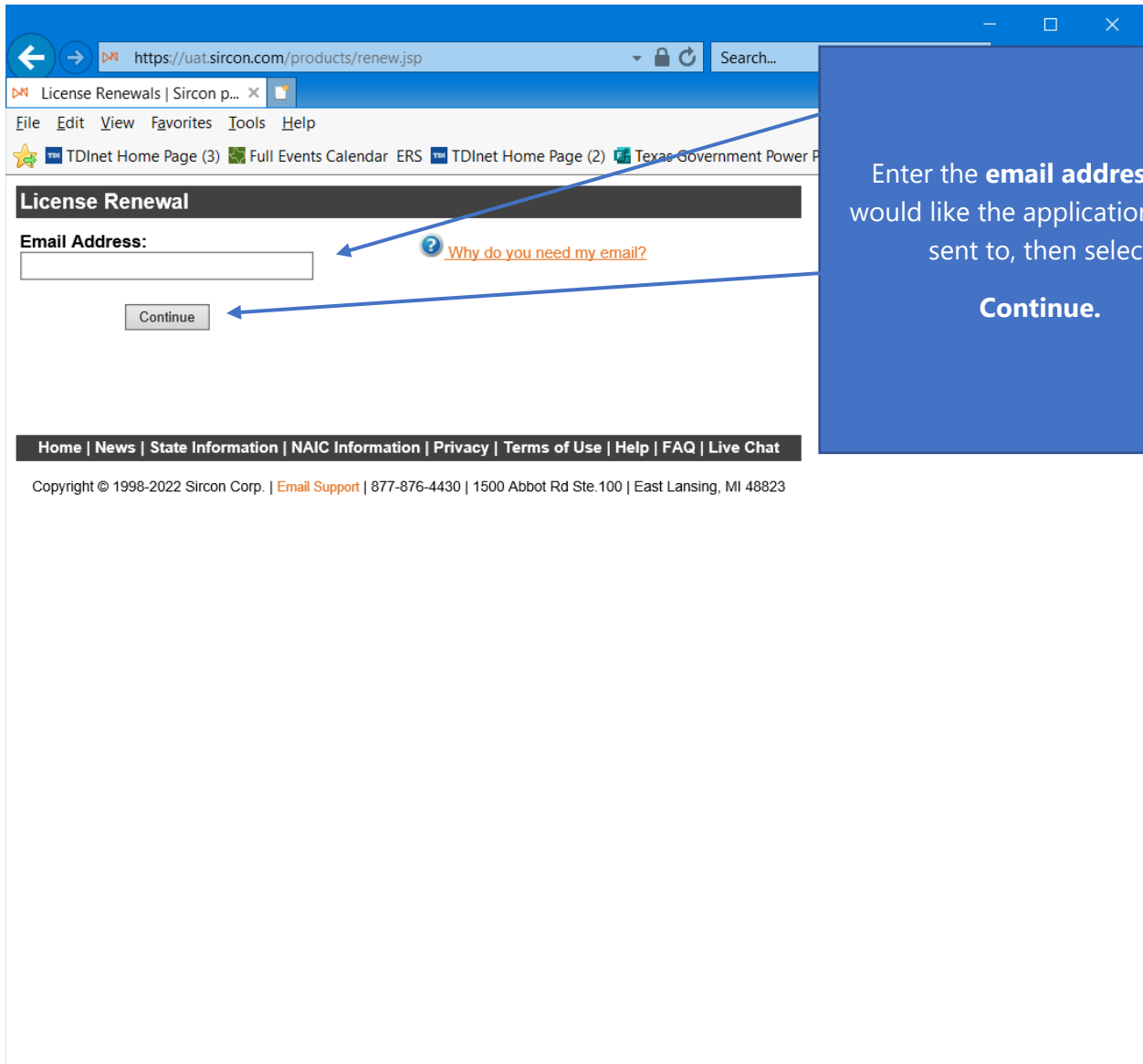
The screenshot shows a web browser window with the URL <https://uat.sircon.com/products/renew.jsp>. The page title is "License Renewals | Sircon p...". The browser's address bar and menu bar are visible. The page content includes a "License Renewal" header, a light blue informational banner, a link to "Check the Status of a Submitted License Renewal", and two main sections: "RENEW INSURANCE LICENSES" and "RENEW ADJUSTER LICENSES".

In the "RENEW INSURANCE LICENSES" section, there is a sub-header "Renew or reinstate an existing insurance license" and an orange button labeled "Renew Insurance Licenses". Below this is a form with the question "Are you an individual or a firm?" and two radio button options: "Individual" and "Firm". At the bottom of this form are "Cancel" and "Continue" buttons. A blue oval labeled "SELECT" has an arrow pointing to the "Individual" radio button. Another blue oval labeled "THEN" has an arrow pointing to the "Continue" button.

The "RENEW ADJUSTER LICENSES" section has a sub-header "Renew or reinstate an existing adjuster license" and an orange button labeled "Renew Adjuster Licenses".

At the bottom of the page, there is a footer with navigation links: "Home | News | State Information | NAIC Information | Privacy | Terms of Use | Help | FAQ | Live Chat" and copyright information: "Copyright © 1998-2022 Sircon Corp. | [Email Support](#) | 877-876-4430 | 1500 Abbot Rd Ste.100 | East Lansing, MI 48823".

Select the **“Individual”** and then click **“Continue.”**



Enter the **"Email Address"** that you would like the status of this renewal sent to.

The screenshot shows a web browser window with the URL <https://uat.sircon.com/products/renew.jsp>. The page title is "License Renewals | Sircon p...". The browser's address bar shows the URL, and the page content includes a "License Renewal" section. A dropdown menu for "Renewal State" is open, listing various states. The state "Texas" is highlighted with a blue arrow pointing to it from a callout box. The callout box contains the text "Select 'Texas.'".

License Renewal

Renewal State

- Maine
- Maryland
- Michigan
- Minnesota
- Mississippi
- Missouri
- Nebraska
- Nevada
- New Hampshire
- New Jersey
- New Mexico
- North Carolina
- North Dakota
- Ohio
- Oklahoma
- Oregon
- Pennsylvania
- Puerto Rico
- Rhode Island
- South Carolina
- South Dakota
- Tennessee
- Texas**
- U.S. Virgin Islands
- Utah
- Vermont
- Virginia
- West Virginia
- Wisconsin
- Wyoming

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Select **"Texas"** from the Renewal State drop down menu.

For **Resident** License Renewals:

The screenshot shows a web browser window with the URL <https://uat.sircon.com/products/renew.jsp>. The page title is "License Renewal". The form contains the following fields:

- Renewal State: Texas (dropdown menu) * Required
- SSN: [input field] * Required
- Last Name: [input field] * Required
- Resident State: [input field] * Required
- Resident License Number: [input field] * Required

Below the form are "Continue" and "Cancel" buttons. A link below the buttons reads: "Don't have a resident license? [Search for a specific license](#)".

At the bottom of the form, there is a disclaimer: "** We accept VISA, MASTERCARD, AMERICAN EXPRESS, DISCOVER and electronic checks. **" and a note: "The information on the following pages may include information provided from the National Insurance Producer Registry's Producer Database and may contain information subject to the Fair Credit Reporting Act, 15 U.S.C. 1681 et seq. A Summary of Consumer Rights is provided [Here](#), and is available for viewing."

Navigation links at the bottom include: Home | News | State Information | NAIC Information | Privacy | Terms of Use | Help | FAQ | Live Chat. Copyright © 1998-2022 Sircon Corp. | [Email Support](#) | 877-876-4430 | 1500 Abbot Rd Ste.100 | East Lansing, MI 48823

Two callout boxes are present:

- A blue box on the right with the text: "Complete the required information, including the Texas license number, and click 'Continue.'" with arrows pointing to the "Resident License Number" field and the "Continue" button.
- A yellow box on the right with the text: "For Non-Resident, Click on the 'Search for a specific license'" with an arrow pointing to the "Search for a specific license" link.

Fill out the required data, including the **Texas license number** being renewed.

For **Non-Resident** License Renewals:

License Renewal

Renewal State * Required

SSN * Required

License Number * Required

[Return to Resident License Search](#)

** We accept VISA, MASTERCARD, AMERICAN EXPRESS, DISCOVER and electronic checks. **

The information on the following pages may include information provided from the National Insurance Producer Registry's Producer Database and may contain information subject to the Fair Credit Reporting Act, 15 U.S.C. 1681 et seq. A Summary of Consumer Rights is provided [Here](#), and is available for viewing.

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Fill out the required data, including the **Texas license number** being renewed.

License Renewal

RESIDENT TEST APPLICANT

Please review the selected items you wish to renew. Note: Some States do not have Qualifications available for renewal. For these states, all associated Qualifications will be renewed when the License is renewed.

Texas License Renewals

Action	License Type	Lic. Number	Exp. Date
<input checked="" type="checkbox"/> Renew	Life Settlement Broker	[REDACTED]	04-30-2022

Back Continue Cancel

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Verify the data presented, Select **"Continue."**

Browser address bar: <https://uat.sircon.com/ComplianceExpress/LicenseRenewals/>

Page Title: Compliance Express™

Menu: File Edit View Favorites Tools Help

Navigation: TDI Home Page (3) Full Events Calendar ERS TDI Home Page (2) Texas Government Power Pl... TDI Reports Application

RESIDENT TEST APPLICANT

333 GUADALUPE
AUSTIN, TX 78701

License Fee Summary

i The expiration date shown here is for the current renewal cycle only. The updated expiration date will be displayed when printing the license.

License Renewals for Texas

License Type	Lic. Number	Exp. Date	State Fee
Life Settlement Broker	[REDACTED]	04-30-2022	* \$50.00

**The state fees for this renewal are estimated. The final fees will be determined after all data has been entered.*

Licensee Email Address

Business Email Address * Required

Applicant Email Address * Required

Texas Life Settlement Broker Renewal Questions

** All questions are required.*

Verify the data presented,
then
Provide your email
addresses

Browser window showing the URL: <https://uat.sircon.com/ComplianceExpress/LicenseRenewal>. The page title is "Compliance Express™". The browser menu includes File, Edit, View, Favorites, Tools, and Help. There are several tabs open, including "TDInet Home Page (3)", "Full Events Calendar ERS", "TDInet Home Page (2)", and "Texas Government Power...".

Texas Life Settlement Broker Renewal Questions

** All questions are required.*

Question 1

NOTE: For Questions 1a, 1b and 1c, "Convicted" includes, but is not limited to, having been found guilty by a verdict of a judge or jury, having entered a plea of guilty or nolo contendere or no contest, or having been given probation, a suspended sentence, or a fine.

If you answer yes to any of these questions, you must attach to this application:

- a written statement explaining the circumstances of each incident,
- a copy of the charging document,
- a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

Question 1A

Have you been convicted of a misdemeanor, had a judgment withheld or deferred, or are you currently charged with committing a misdemeanor, which has not been previously reported to this insurance department?

You may exclude the following misdemeanor convictions or pending misdemeanor charges: traffic citations, driving under the influence (DUI), driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license.

You may also exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)

- No
 Yes

Question 1B

Have you been convicted of a felony, had a judgment withheld or deferred, or are you currently charged with committing a felony, which has not been previously reported to this insurance department?

You may exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)

- No
 Yes

Question 1B1

If you have a felony conviction involving dishonesty or breach of trust, have you applied for written consent to engage in the business of insurance in your home state as required by 18 USC 1033?

- No
 Yes
 Not Applicable

Answer all questions carefully.

Attach any required documentation to this application after you submit the application.

https://uat.sircon.com/ComplianceExpress/LicenseRenewal

Compliance Express™

File Edit View Favorites Tools Help

TDI TDI Home Page (3) Full Events Calendar ERS TDI TDI Home Page (2) Texas Government Pow

Question 3

Do you have a child support obligation in arrearage, which has not been previously reported to the department? If you answer yes,

No
 Yes

Question 3A

By how many months are you in arrearage?

Question 3B

Are you currently subject to and in compliance with any repayment agreement?

No
 Yes
 Not Applicable

Question 3C

Are you the subject of a child support related subpoena/warrant?

No
 Yes
 Not Applicable

Answer all questions **carefully.**

Attach any required documentation to this application after you submit the application.

Browser window showing the URL: <https://uat.sircon.com/ComplianceExpress/LicenseRenewal>. The browser title is "Compliance Express™". The address bar contains "Search...". The browser menu includes "File", "Edit", "View", "Favorites", "Tools", and "Help". The browser tabs include "TDI TDI Home Page (3)", "Full Events Calendar ERS", "TDI TDI Home Page (2)", and "Texas Government Power ...".

Attestation for Texas

By renewing online, you affirm that you have reported to the Department any administrative action and/or any criminal history, including convictions, deferred adjudications, probations or pending charges. You may report this information by scanning and electronically attaching the required documentation directly to your renewal application from the confirmation page. If you do not have scan capability, mail it to: Texas Department of Insurance, Agency Licensing Division - MC-CO-AAL, P. O. Box 12030, Austin, Texas 78711-2030. Federal law (18 USC 1033), prohibits an individual from engaging in the business of insurance if they have been convicted of a crime involving dishonesty or breach of trust without express written consent of a commissioner of insurance.

Read **Attestation** carefully,

I understand if the applicant will be conducting an insurance business in Texas in a name other than the applicant's full legal name, a [Registration of Assumed Name / Entity Name Change form](#) (TDI Form FIN528), must be submitted to the department for each name. The FIN528 form can be accessed from our [Information Update Forms](#) page at <http://www.tdi.texas.gov/forms/form11update.html>.

In Addition, for Life Settlement Broker or Providers

I certify that the life settlement broker and each owner, partner, director, member, officer, and designated employee named in the application or in any supplement to the application, who perform acts of a life settlement broker under Insurance Code §1111A.002(2), has completed training equivalent to that required of individual brokers under §3.1723 of this title (relating to Course and Training Requirements for Brokers), unless the individual is exempted under §3.1723(c) of this title or has been associated with the license holder for less than two years.

Excluding Escrow Officers and Life Settlement Broker licenses:

Effective June 1, 2018, Chapter 19.1016 of the Texas Department of Insurance has been adjusted to reflect recent legislative changes. These changes have affected both License Renewal and Continuing Education (CE) requirements.

Each hour of continuing education not completed during a license term will result in a \$50.00 per deficient hour fine up to a maximum of \$500.00 per license type held that requires continuing education. Fines for reporting periods prior to 6-1-2018, may exceed the \$500.00 per license held limitation.

For Individual Licensing Renewals Only:

In applying for a renewal of an SFMO industry license, I certify that I am familiar with and will comply with the Texas Insurance Code and the Texas Administrative Code applicable to the license being renewed. I hereby authorize the Texas State Fire Marshal or his representative to enter, examine, and inspect any premises, building, room, or establishment used by my firm while engaged in the business the firm is licensed for, to determine compliance with the provisions of the Texas Insurance Code and the Texas Administrative Code applicable to the license being renewed.

For Public Insurance Adjuster renewals only:

I will attach a copy of my public insurance adjuster contract and proof of financial responsibility with this submission.

Escrow Officer Renewals only:

I will print a copy of the renewal summary information available after submitting this application, sign, and notarize the document. I must retain this document with my records, and provide the signed, notarized document to TDI upon

Browser: https://uat.sircon.com/ComplianceExpress/LicenseRenewal

License Renewal

RESIDENT TEST APPLICANT
333 GUADALUPE
AUSTIN, TX 78701

License Fee Summary

The expiration date shown here is for the current renewal cycle only. The updated expiration date will appear when printing the license.

License Renewals for Texas	License Type	Lic. Number	Exp. Date	State Fee
	Life Settlement Broker	2 [REDACTED]	04-30-2022	\$50.00

Total State Fee \$50.00
Sircon Fee \$6.00
Processing Fee \$2.25
Total Fee \$58.25

I understand that all license renewal fees are non-refundable.

NOTE: You will not be charged the above amount until you complete the payment process. If you wish to continue with this process, please click process below.

I would like to receive email notifications concerning state insurance deadlines, renewal notices, new electronic services and related issues.

Please send email notifications to:

Use my information to create a Sircon account so I can track and manage my license credentials and continuing education (CE) requirements for free. [What's this?](#)

Sircon account email

Confirm your email to sign up

Review information provided.

Must be checked

Supply / Verify email address (Optional)

Supply / Verify Sircon account (Optional)

Select "Process"

Compliance Express™

https://uat.sircon.com/ComplianceExpress/LicenseRene...

License Renewal

✔ License Renewal(s) and/or Reinstatement(s) were successfully submitted. Please review the information on this page, and then print the page for your records using the Print link below. To print a license, click the link next to the license renewal (if available).

NEXT I would like to:

- [Attach documents](#) to this application
- [Print](#) this confirmation page

RESIDENT TEST APPLICANT
 333 GUADALUPE
 AUSTIN, TX 78701

Confirmation Information

Please review this page and print for your records. Note the status and messages below concerning each renewal. If the renewal status is Pending, you may check the status of the renewal at a later date with the License Application/Renewal Status Inquiry on the Renewal Menu. Please write down the Confirmation IDs for this purpose.

State	Lic. Number	Renewal Type	License Type	Confirm. ID	Status	Messages	Action
TX	[REDACTED]	Renewal	Life Settlement Broker	43850783	Submitted	<ul style="list-style-type: none"> • License renewal request has been submitted to the State but can not be renewed unless and until the licensee is CE compliant. • This license renewal request has been submitted and is under review by the State. 	View License Renewal Result Details How do I print my license?

Attach any required documents here.

Review Confirmation ID
 View License Renewal Result Details

Compliance Express™

https://uat.sircon.com/ComplianceExpress/LicenseRene...

License Fee Summary

License Renewals for Texas

License Type	Lic. Number	Exp. Date	State Fee
Life Settlement Broker	[REDACTED]		\$50.00

			Total State Fee \$50.00
			Sircon Fee \$6.00
			Processing Fee \$2.25
			=====
			Total Fee \$58.25

Additional State Requirements

Additional Texas Requirements for Life Settlement Broker

Upon answering one of the background questions "Yes", additional documents will be required. After you submit the renewal, there will be a link to attach the required additional documentation described below:

- a written statement summarizing the details of each incident,
- a copy of the Petition, Complaint or other document that commenced the lawsuit, arbitration, or mediation proceedings, and
- a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

Once your license renewal is approved, it may be printed at www.sircon.com\Texas. There will be no fee for printing your license for 30 days from the date the license application was approved.

- Once 30 days have passed since your license was approved, a processing fee will be charged to print your license.

If you obtain a Sircon account (available at no cost), there is no fee for printing your license from your Sircon account at any time. Refer to www.sircon.com\Texas for information on Sircon accounts.

In Addition, for Life Settlement Broker or Providers

Note: the life settlement broker and each owner, partner, director, member, officer, and designated employee named in the application or in any supplement to the application, who perform acts of a life settlement broker under Insurance Code §1111A.002(2), has completed training equivalent to that required of individual brokers under §3.1723 of this title (relating to Course and Training Requirements for Brokers), unless the individual is exempted under §3.1723(c) of this title or has been associated with the license holder for less than two years.

Review Additional State Requirements carefully.

Compliance Express™ x +
https://uat.sircon.com/ComplianceExpress/License...

Licensee Email Address

Business Email Address * Required
Applicant Email Address * Required

Texas Life Settlement Broker Renewal Questions

** All questions are required.*

Question 1

NOTE: For Questions 1a, 1b and 1c, "**Convicted**" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere or no contest, or having been given probation, a suspended sentence, or a fine.

If you answer yes to any of these questions, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a copy of the charging document,
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

Question 1A

Have you been convicted of a misdemeanor, had a judgment withheld or deferred, or are you currently charged with committing a misdemeanor, which has not been previously reported to this insurance department?

You may exclude the following misdemeanor convictions or pending misdemeanor charges: traffic citations, driving under the influence (DUI), driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license.

You may also exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)

No
 Yes

Review
Information
Submitted

Select "Done" to
submit.

Compliance Express™ x +
https://uat.sircon.com/ComplianceExpress/License...

Question 1B

Have you been convicted of a felony, had a judgment withheld or deferred, or are you currently charged with committing a felony, which has not been previously reported to this insurance department?

You may exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)

No
 Yes

Question 1B1

If you have a felony conviction involving dishonesty or breach of trust, have you applied for written consent to engage in the business of insurance in your home state as required by 18 USC 1033?

No
 Yes
 Not Applicable

Question 1B2

If so, was that consent granted? (Attach copy of 1033 consent approved by home state.)

No
 Yes
 Not Applicable

Question 1C

Have you been convicted of a military offense, had a judgment withheld or deferred, or are you currently charged with committing a military offense, which has not been previously reported to this insurance department?

No
 Yes

Review
Information
Submitted

Select "Done" to
submit.

Compliance Express™ x +
https://uat.sircon.com/ComplianceExpress/License...

Question 2

Have you been named or involved as a party in an administrative proceeding, including a FINRA sanction or arbitration proceeding regarding any professional or occupational license or registration, which has not been previously reported to this insurance department?

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, placed on probation, sanctioned or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license, or registration. "Involved" also means having a license, or registration, application denied or the act of withdrawing an application to avoid a denial. INCLUDE any business so named because of your actions in your capacity as an owner, partner, officer or director, or member or manager of a Limited Liability Company. You may exclude terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

a) a written statement identifying the type of license and explaining the circumstances of each incident,
b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
c) a copy of the official document which demonstrates the resolution of the charges or any final judgment.

No
 Yes

Compliance Express™

https://uat.sircon.com/ComplianceExpress/License...

Question 3

Do you have a child support obligation in arrearage, which has not been previously reported to this insurance department? If you answer yes,

No
 Yes

Question 3A

By how many months are you in arrearage?

Question 3B

Are you currently subject to and in compliance with any repayment agreement?

No
 Yes
 Not Applicable

Question 3C

Are you the subject of a child support related subpoena/warrant?

No
 Yes
 Not Applicable

Review
Information
Submitted

Select "Done" to
submit.

Compliance Express™ x +

← → ↻ 🏠 🔒 https://uat.sircon.com/ComplianceExpress/License... A 📄 👤 ⚙️ 🌐 🗑️ ⋮

Attestation for Texas

By renewing online, you affirm that you have reported to the Department any administrative action and/or any criminal history, including convictions, deferred adjudications, probations or pending charges. You may report such information by scanning and electronically attaching the required documentation directly to your renewal application from the confirmation page. If you do not have scan capability, mail it to: Texas Department of Insurance, Agent Licensing Division - MC-CO-AAL, P. O. Box 12030, Austin, Texas 78711-2030. Federal law (18 USC 1033), prohibits an individual from engaging in the business of insurance if they have been convicted of a crime involving dishonesty or breach of trust without express written consent of a commissioner of insurance.

I understand if the applicant will be conducting an insurance business in Texas in a name other than the applicant's full legal name, a [Registration of Assumed Name / Entity Name Change form](#) (TDI Form FIN528), must be submitted to the department for each name. The FIN528 form can be accessed from our [Information Update Forms](#) page at <http://www.tdi.texas.gov/forms/form11update.html>.

In Addition, for Life Settlement Broker or Providers
I certify that the life settlement broker and each owner, partner, director, member, officer, and designated employee named in the application or in any supplement to the application, who perform acts of a life settlement broker under **Insurance Code §1111A.002(2)**, has completed training equivalent to that required of individual brokers under **§3.1723** of this title (relating to Course and Training Requirements for Brokers), unless the individual is exempted under **§3.1723(c)** of this title or has been associated with the license holder for less than two years.

Excluding Escrow Officers and Life Settlement Broker licenses:

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Each hour of continuing education not completed during a license term will result in a \$50.00 per deficient hour fine up to a maximum of \$500.00 per license type held that requires continuing education. Fines for reporting periods prior to 6-1-2018, may exceed the \$500.00 per license held limitation.

For Individual Licensing Renewals Only:
In applying for a renewal of an SFMO industry license, I certify that I am familiar with and will comply with the Texas Insurance Code and the Texas Administrative Code applicable to the license being renewed. I hereby authorize the Texas State Fire Marshal or his representative to enter, examine, and inspect any premises, building, room, or establishment used by my firm while engaged in the business the firm is licensed for, to determine compliance with the provisions of the Texas Insurance Code and the Texas Administrative Code applicable to the license being renewed.

For Public Insurance Adjuster renewals only:
I will attach a copy of my public insurance adjuster contract and proof of financial responsibility with this submission.

Review
Information
Submitted

Select "Done" to
submit.

Compliance Express™

https://uat.sircon.com/ComplianceExpress/License...

For Public Insurance Adjuster renewals only:

I will attach a copy of my public insurance adjuster contract and proof of financial responsibility with this submission.

Escrow Officer Renewals only:

I will print a copy of the renewal summary information available after submitting this application, sign, and notarize the document. I must retain this document with my records, and provide the signed, notarized document to TDI upon request.

Agree *Required

Submitter Information

Producer **Authorized Submitter**

Done

Review
**Information
Submitted**

Select **“Done”**
to submit.